

12-26-01

"Express Mail" mailing label number EV 005 609 915 USDate of Deposit December 18, 2001.jc835 U.S. PTO
10/024734
12/18/01

REQUEST FOR FILING A CONTINUING PATENT APPLICATION UNDER 37 CFR § 1.53(b)(1)

Case No.	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
8285/432	CLASS	SUBCLASS	C. Nguyen	2165

Address to:

Commissioner for Patents
Washington, D. C. 20231

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR § 1.53(b)(1), of pending prior application number 08/008,344, filed on January 16, 1998, entitled METHOD AND SYSTEM FOR TRACKING COMPUTER SYSTEM USAGE THROUGH A REMOTE ACCESS SECURITY DEVICE.

- ☒ Copy Of the Prior application, including 2 sheets of drawings, 14 pages of Application (including title page), and the following Appendices _____.
- ☒ Copy of the Declaration filed in the Prior application.
- ☒ PTO Form 1449 and Information Disclosure Statement.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	12 - 20 =	0	x \$ 18 =	\$0
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2 - 3 =	0	x \$ 84 =	\$0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$280 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 740
				Total of above Calculations =	\$
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				\$
				TOTAL =	\$740

- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27
☐ is enclosed.
☐ was filed in prior application number _____ and such status is still proper and desired (37 CFR 1.28(a)).
- ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ Enclosed is a check for \$ 740 to cover the filing fees.
- ☐ Cancel in this application original claims _____ of the prior application and otherwise enter the attached preliminary amendment before calculating the filing fee. (At least one original independent claim must be retained for filing purposes).
- ☒ The inventor(s) of the invention being claimed in this application is(are): Bruce L. Peterson, Chris Clayton, and Michael S. Farmer.
- ☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 CFR 1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application: _____.
- ☐ Amend the specification by inserting before the first line the sentence: "This application is a ☐ continuation ☐ division of application number _____, filed _____, (pending), which is hereby incorporated by reference herein."

11. ☒ New formal drawings are enclosed.
12. ☐ Priority of foreign application number _____, filed on _____ in _____ is claimed under 35 U.S.C. 119.
☐ The certified copy has been filed in prior application number _____, filed
13. ☐ A preliminary amendment is enclosed.
14. ☒ The prior application is assigned of record to Ameritech Corporation.
15. ☒ Also enclosed: Communication.
16. ☒ The power of attorney in the prior application is to: Kent E. Genin and other attorneys at the firm of BRINKS HOFER GILSON & LIONE.
- a. ☒ The power appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☒ Address all future correspondence to: (may only be completed by applicant, or attorney or agent of record.)

12/18/2001
Date

Kent E. Genin
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P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200


Signature
Name: Kent E. Genin
Reg. No. 37,834

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record
☐ Filed under 37 CFR 1.34(a)
Registration Number if acting under 37 CFR 1.34(a): _____

10024734-121801

"Express Mail" mailing label number EV 005 609 915 US

Date of Deposit: December 18, 2001

Our Case No. 8285/432

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Bruce L. Peterson et al.)
)
Serial No. To be Assigned) Examiner: Unknown
)
Filing Date: Herewith) Group Art Unit:
)
For METHOD AND SYSTEM FOR)
TRACKING COMPUTER SYSTEM)
USAGE THROUGH A REMOTE)
ACCESS SECURITY DEVICE)

COMMUNICATION

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

As permitted by 37 C.F.R. §1.63(d)(1)(i-iv) and MPEP §201.06(c) Applicants have filed herewith a copy of the original Declaration and a new specification and drawings that do not contain any subject matter that would have been new matter in the prior application. The accompanying new specification and drawings differ from the original specification and drawings for parent case Serial No. 09/008,344 in the following ways:

- 1) A reference to the parent application has been added to the first line of the application as required by 37 C.F.R. §1.78.
- 2) Paragraph numbers have been used in place of line numbers pursuant to 37 C.F.R. §1.52(b)(6).
- 3) Present claims 1-12 are new claims directed to the disclosed methods and systems for tracking computer usage through a remote access security server.

100244-100244

4) Formal drawings have been substituted for the informal drawings originally filed in the parent application.

5) On page 3, paragraph 9, a reference to U.S. Application Serial No. 09/008,527, filed January 16, 1998, has been substituted for the corresponding attorney docket number (8285/141) originally listed in the parent application.

Applicants' new specification and drawings are provided to take advantage of the provisional rights potentially available to Applicants under 35 U.S.C. §154(d).

Applicants submit that no new matter has been added.

Respectfully submitted,



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Registration No. 37,834
Attorney for Applicant

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/024,734	12/18/2001	2131	870	8285/432	2	11	2

Kent E. Genin
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CONFIRMATION NO. 8479

CORRECTED FILING RECEIPT



OC000000008250354

Date Mailed: 06/07/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

BRUCE LEE PETERSON, CRYSTAL LAKE, IL;
CHRISTINA ELLEN CLAYTON, CHICAGO, IL;
MICHAEL STEPHAN FARMER, WILDWOOD, MO;

Assignment For Published Patent Application

Ameritech Corporation;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/008,344 01/16/1998 PAT 6,349,289

Foreign Applications

If Required, Foreign Filing License Granted 02/05/2002

Projected Publication Date: 08/01/2002

Non-Publication Request: No

Early Publication Request: No

Title

Method and system for tracking computer system usage through a remote access security device

Preliminary Class

713

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).